Express Mail No.: EJ695376365US Date of Deposit: October 26, 1999

#### PATENT APPLICATION

ATTORNEY DOCKET NO: 17999-001 (BURF-1)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

DeGroot

SERIAL NO:

09/351,036

DATE FILED:

July 9, 1999

ART UNIT 1642

ASSIGNEE:

Brown University Research Foundation

FOR:

HIV VACCINE CANDIDATE PEPTIDES

Providence, Rhode Island

Assistant Commissioner for Patents Washington, D.C. 20231

#### COMBINED DECLARATION AND POWER OF ATTORNEY

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe that I am an original and sole inventor of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

#### HIV VACCINE CANDIDATE PEPTIDES

The specification was filed on July 9, 1999, as Serial No. 09/351,036.

#### ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to patentability, as defined in 37 Code of Federal Regulations § 1.56.

APPLICANT:

**DeGroot** 

SERIAL NO:

09/351,036

#### **CLAIM OF PRIORITY**

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States applications listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national filing date of this application:

Application No.	Filing Date	<u>Status</u>
60/092,346	July 10, 1998	Abandoned
60/115,145	January 8, 1999	Pending
60/130,677	April 23, 1999	Pending

#### **POWER OF ATTORNEY**

I hereby appoint the following practitioners, all at MINTZ LEVIN, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Attorney or Agent	Registration No.	Attorney or Agent	Registration No.
David B. Bernstein	32,112	John T. Prince	43,091
David F. Crosby	36,400	Brian Rosenbloom	41,276
Brett N. Dorny	35,860	Thomas M. Sullivan	39,392
Ivor R. Elrifi	39,529	Howard Susser	33,556
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William Marino	44,219	Kristin E. Konzak	P-44,848
Leslie Meyer-Leon	37,381	Carol H. Peters	P-45,010
A. Jason Mirabito	28,161	Garfield B. Simms	P-45,109
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APPLICANT:

**DeGroot** 

SERIAL NO:

09/351,036

#### DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Signature

D

Full Name of Inventor:

Citizenship:

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TRADOCS: 1233616.1 (qfv401!.doc)

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Express Mail No.: EJ695376365US Date of Deposit: October 26, 1999

### PATENT APPLICATION .

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# STATEMENT CLAIMING SMALL ENTITY STATEMENT UNDER 37 C.F.R. §§ 1.9(e) and 1.27(b) – NON-PROFIT ORGANIZATION

I hereby state that I am an official empowered to act on behalf of the nonprofit organization Brown University Research Foundation, 42 Charlesfield Street, Providence, RI 02912, a nonprofit scientific or educational under statute of the state of Rhode Island.

I hereby state that the Brown University Research Foundation qualifies as a nonprofit organization, as defined in 37 C.F.R. § 1.9(e), for purposes of paying reduced fees to the United States Patent and Trademark Office under 35 U.S.C. §§ 41(a) and (b), with regard to the invention described in the above-identified application.

I hereby state that rights under contract or law have been conveyed to, and remain with, BROWN UNIVERSITY RESEARCH FOUNDATION, with regard to the above identified invention.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.

APPLICANT:

**DeGroot** 

SERIAL NO:

09/351,036

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of person signing:

William Jackson

President

**BROWN UNIVERSITY RESEARCH FOUNDATION** 

42 Charlesfield Street Providence, RI 02912

Date: August 18, 1999

TRADOCS: 1233618.1 (qfv601!.doc)

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